



Patent  
Attorney's Docket No. 032005-068

*Secter*  
*\$*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Ashby ) Group Art Unit: 3736  
Application No.: 09/621,670 ) Examiner: Unassigned  
Filed: July 24, 2000 )  
For: DEPTH AND PUNCTURE CONTROL )  
FOR SYSTEM FOR HEMOSTASIS OF )  
BLOOD VESSEL )

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

**BOX: MISSING PART**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(e) dated December 19, 2000, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☒ \$65.00 (205) ☐ \$130.00 (105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- ☐ a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document and a separate check for the Assignment recordation fee;
- ☐ other \_\_\_\_\_;
- ☒ a check in the amount of \$ 65.00 for the fee due for missing parts; and
- ☐ charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for missing parts.

Transmittal Letter for Missing Parts of Application

Attorney's Docket No. 032005-068

Application No. 09/621,670

Page 2

[X] Small entity status is hereby claimed. (a copy is enclosed)

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

By: 

Robert E. Krebs

Registration No. 25,885

Date: February 8, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

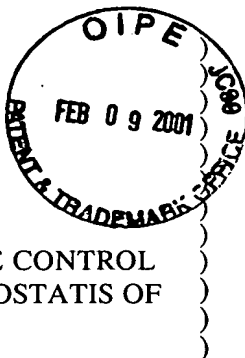
In re Patent Application of

Mark Ashby

Application No.: 09/621,670

Filed: July 24, 2000

For: DEPTH AND PUNCTURE CONTROL  
FOR SYSTEM FOR HEMOSTASIS OF  
BLOOD VESSEL



Group Art Unit: 3736

Examiner: Unassigned

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show the title that was incorrectly stated. The correct title is as follows.

**DEPTH AND PUNCTURE CONTROL FOR SYSTEM FOR HEMOSTASIS  
OF BLOOD VESSEL**

Issuance of a corrected Official Filing Receipt is respectfully requested.

[X] This Request for Corrected Official Filing Receipt is being filed to correct a Patent Office error. No fee is required. Enclosed is a copy of the Notice to File Missing Parts for the subject application showing the correct information was timely submitted. This request is being submitted with a reply to the Notice to File Missing Parts. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
Robert E. Krebs, Esq.  
Registration No. 25,885

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

Date: February 8, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/621,670	07/24/2000	3736	1278	032005-068	17	44	5

## FILING RECEIPT



\*OC00000005629146\*

Robert E. Kerbs  
Burns, Doane, Swecker & Mathis L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date Mailed: 12/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Mark Ashby, Residence Not Provided;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/156,007 09/23/1999

## Foreign Applications

If Required, Foreign Filing License Granted 09/08/2000

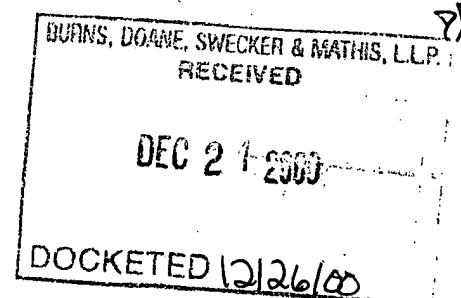
## Title

Depth and puncture control for blood vessel hemostasis system

SYSTEM FOR HEMOSTASIS OF BLOOD VESSEL

## Preliminary Class

600



032005-068  
Sub-Q Inc.  
REK

Data entry by : YIN, NUNU

Team : OIPE

Date: 12/19/2000





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/621,670	07/24/2000	Mark Ashby	032005-068

Robert E. Kerbs  
Burns, Doane, Swecker & Mathis L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404



## FORMALITIES LETTER



\*OC000000005629147\*

Date Mailed: 12/19/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2001 BNGUYEN1 00000032 09621670

01 FC:205

65.00 OP ✓